

<b>FORM PTO-1449 (Modified)</b> <b>LIST OF PATENTS AND PUBLICATIONS</b> <b>FOR APPLICANT'S</b> <b>INFORMATION DISCLOSURE STATEMENT</b> (Use several sheets if necessary)				<b>ATTY DOCKET NO.:</b> S63.2-8606		<b>SERIAL NO.:</b> 09/427,291	
				<b>APPLICANT:</b> Paul Burmeister et al.			
				<b>FILING DATE:</b> October 26, 1999		<b>GROUP:</b> 3731	

REFERENCE DESIGNATION				U.S. PATENT DOCUMENTS			
EXAM'S INIT.		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
<i>PA</i>	AA	4,969,890	11/13/90	Sugita et al.	606	192	
	AB						
	AC						
	AD						
	AF						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						
	AL						

FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION
							YES      NO
<i>APP</i>	BA	8364-787-A1	4/25/90	FR			X
	BB	8335-341-A1	10/4/89	EP			X
	BC	2175-824	12/10/86	GB			X
	BD	94/03127	2/17/94	WO			X
	BE						

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)		
CA		
CB		
CC		

<b>EXAMINER</b> <i>Paul Prebille</i>	<b>DATE CONSIDERED</b> <i>4-23-02</i>
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



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<b>FORM PTO-1449 (Modified)</b> <b>LIST OF PATENTS AND PUBLICATIONS FOR</b> <b>APPLICANT'S INFORMATION DISCLOSURE</b> <b>STATEMENT</b> (Use several sheets if necessary)				<b>ATTY DOCKET NO:</b> S63.2-7147		<b>Application No:</b> 09/172,590	
<b>APPLICANT:</b> Paul Burmeister et al				<b>FILING DATE:</b> 14 October 1998		<b>GROUP:</b> 3738	

REFERENCE DESIGNATION			U.S. PATENT DOCUMENTS				
EXAM'S INT.		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
↓	AA	5,449,373	9/12/95	PINCHASIK ET AL	606	198	
	AB	5,478,349	12/26/95	NICHOLAS	606	198	
↓	AC	5,064,435	11/12/91	PORTER	623	12	
	AD						
	AE						
	AK						

FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION
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	BI						

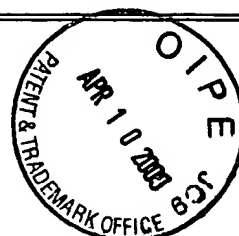
  

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)		
	CA	
	CB	
	CC	

<b>EXAMINER</b> <i>Paul Prebille</i>	<b>DATE CONSIDERED</b> <i>4-23-02</i>
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<b>APPLICANT:</b> Paul Burmeister et al				<b>FILING DATE:</b> 14 October 1998		<b>GROUP:</b> 3738	

REFERENCE DESIGNATION			U.S. PATENT DOCUMENTS				
EXAM'S INIT.		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
<i>JP</i>	AA	5,601,593	2/11/97	FREITAG	606	198	
	AB	5,562,641	10/8/86	FLOMENBLIT ET AL	604	281	
	AC	5,545,210	8/13/96	HESS ET AL	623	1	
	AD	5,674,277	10/7/97	FREITAG	623	1	
	AE	5,383,892	1/24/95	CARDON ET AL	606	198	
<i>✓</i>	AK	5,366,504	11/22/94	ANDERSEN ET AL	623	11	

FOREIGN PATENT DOCUMENTS								
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
<i>JP</i>	BA	<del>WG 95/34945</del>	<del>11/30/95</del>	<del>WO</del>				
<i>JP</i>	BB	<del>0 183 372</del>	<del>6/4/86</del>	<del>EP</del>				
	BC							
	BD							
	BE							
	BF							
	BG							
	BH							
	BI							

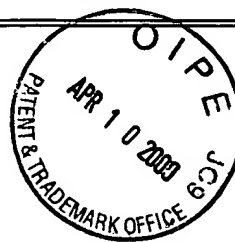
  

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)		
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FORM PTO-1449 (Modified)				ATTY DOCKET NO: S63.2-4494		Serial No: 08/246,320	
<b>INFORMATION DISCLOSURE STATEMENT</b> (Use several sheets if necessary)				APPLICANT: Paul H. Burmeister, Charles L. Euteneuer, Brian J. Brown, Paul J. Fordenbacher			
				FILING DATE: MAY 19, 1994		Group: 3309	
REFERENCE DESIGNATION				U.S. PATENT DOCUMENTS			
EXAM'S INIT.	PATENT NUMBER	ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
P1	AA	3,012,883	12/12/1961	L. Muldower et al.			
	AB	3,174,851	03/23/1965	Buchler et al.			
	AC	3,585,647	06/22/1971	H.M. Gajewski et al.			
	AD	3,657,744	04/25/1972	Ersek			
	AE	4,030,503	06/21/1977	Clark, III			
	AF	4,046,150	09/06/1977	Schwartz et al.			
	AG	4,140,126	02/20/1979	Choudhury			
	AH	4,149,911	04/17/1979	Clabburn			
	AI	4,170,990	10/16/1979	Baumgart et al.			
	AJ	4,244,140	01/13/1981	Kim			
	AK	4,300,144	11/17/1981	Bokros			
	AL	4,306,318	12/22/1981	Mano et al.			
	AM	4,326,532	04/27/1982	Hammar			
	AN	4,347,846	09/07/1982	Dornia			
	AO	4,425,908	01/17/1984	Simon			
	AP	4,503,569	03/12/1985	Dotter			
	AQ	4,512,338	04/23/1985	Balko et al.			
	AR	4,553,545	11/19/1985	Maass et al.			
	AS	4,560,374	12/24/1985	Hammerslag			
	AT	4,572,186	02/25/1986	Gould et al.			
	AU	4,577,631	03/25/1986	Kreamer			
	AV	4,649,922	03/17/1987	Wiktor			
	AW	4,655,771	04/07/1987	Wallsten			
	AX	4,665,906	05/19/1987	Jervis			
	AY	4,665,918	05/19/1987	Garza et al.			
	AZ	4,681,110	07/21/1987	Wiktor			
EXAMINER <i>Paul Prebilo</i>		DATE CONSIDERED <i>4-23-02</i>					
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				<b>FILING DATE:</b> MAY 19, 1994		<b>Group:</b> 3309	
REFERENCE DESIGNATION				U.S. PATENT DOCUMENTS			
EXAM'S INIT.		PATENT NUMBER	ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
PBF	AA	4,729,766	03/08/1988	Bergentz et al.			
	AB	4,732,152	03/22/1988	Wallsten et al.			
	AC	4,733,665	03/29/1988	Palmaz			
	AD	4,740,207	04/26/1988	Kreamer			
	AE	4,762,128	08/09/1988	Rosenbluth			
	AF	4,768,507	09/06/1988	Fischell et al.			
	AG	4,776,337	10/11/1988	Palmaz			
	AH	4,817,600	04/04/1989	Hermes et al.			
	AI	4,820,298	04/11/1989	Leveen et al.			
	AJ	4,831,094	05/16/1989	Stein et al.			
	AK	4,832,055	05/23/1989	Palestrant			
	AL	4,848,343	07/18/1989	Wallsten et al.			
	AM	4,856,516	08/15/1989	Hillstead			
	AN	4,878,906	11/07/1989	Lindemann et al.			
	AO	4,886,062	12/12/1989	Wiktor			
	AP	4,893,623	01/16/1990	Rosenbluth			
	AQ	4,913,141	04/03/1990	Hillstead			
	AR	4,921,484	05/01/1990	Hillstead			
	AS	4,922,905	05/08/1990	Strecker			
	AT	4,950,258	08/21/1990	Kawai et al.			
AU	4,954,126	09/04/1990	Wallsten				
AV	4,969,458	11/13/1990	Wiktor				
AW	4,990,155	02/05/1991	Wilkoff				
AX	4,994,069	02/19/1991	Ritchart et al.				
AY	4,994,071	02/19/1991	MacGregor				
✓	AZ	4,998,923	03/12/1991	Samson et al.			
EXAMINER <u>Paul Prebille</u>				DATE CONSIDERED <u>4-23-02</u>			
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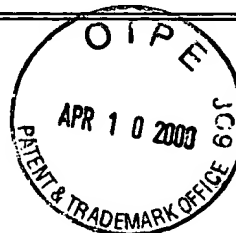


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<b>INFORMATION DISCLOSURE STATEMENT</b> (Use several sheets if necessary)				APPLICANT: Paul H. Burmeister, Charles L. Euteneuer, Brian J. Brown, Paul J. Fordenbacher			
				FILING DATE: MAY 19, 1994		Group: 3309	
REFERENCE DESIGNATION				U.S. PATENT DOCUMENTS			
EXAM'S INIT.		PATENT NUMBER	ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
PRP	AA	5,037,427	08/06/1991	Harada et al.			
	AB	5,057,092	10/15/1991	Webster, Jr.			
	AC	5,057,114	10/15/1991	Wittich et al.			
	AD	5,059,211	10/22/1991	Stack et al.			
	AE	5,061,275	10/29/1991	Wallsten et al.			
	AF	5,064,428	11/12/1991	Cope et al.			
	AG	5,067,957	11/26/1991	Jervis			
	AH	5,078,726	01/07/1992	Kreamer			
	AI	5,078,736	01/07/1992	Behl			
	AJ	5,102,417	04/07/1992	Palmaz			
	AK	5,108,420	04/28/1992	Marks			
	AL	5,123,917	06/23/1992	Lee			
	AM	5,176,664	01/05/1993	Weisman			
	AN	5,183,085	02/02/1993	Timmermans			
	AO	5,189,110	02/23/1993	Ikematu et al.			
	AP	5,211,658	05/18/1993	Clouse			
	AQ	5,217,483	06/08/1993	Tower			
	AR	5,224,953	07/06/1993	Morgentaler			
	AS	5,241,970	09/07/1993	Johlin, Jr. et al.			
	AT	5,242,399	09/07/1993	Lau et al.			
	AU	5,246,445	09/21/1993	Yachia et al.			
	AV	5,275,622	01/04/1994	Lazarus et al.			
	AW	5,282,824	02/01/1994	Gianturco			
	AX	5,292,331	03/08/1994	Boneau			
	AY	5,304,200	04/19/1994	Spaulding			
↓	AZ	5,314,472	05/24/1994	Fontaine			
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(Use several sheets if necessary)				<b>FILING DATE:</b> MAY 19, 1994		<b>Group:</b> 3309	
<b>REFERENCE DESIGNATION</b>				<b>U.S. PATENT DOCUMENTS</b>			
EXAM'S INIT.	PATENT NUMBER	ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
229	AA	5,354,308	10/11/1994	Simon et al.			
	AB	5,354,309	10/11/1994	Schnepp-Pesch et al.			
	AC	3,923,065	12/02/1975	Nozick et al.			
	AD	5,133,732	07/28/1992	Wiktor			
	AE	5,135,536	08/04/1992	Hillstead			
	AF	4,728,322	03/01/1988	Wallner et al			
	AG	4,911,716	03/27/1990	Blom et al			
	AH	4,921,499	05/01/1990	Hoffman et al.			
	AI	4,926,860	05/22/1990	Stice et al.			
	AJ	4,934,380	06/19/1990	de Toledo			
	AK	4,955,384	09/11/1990	Taylor et al.			
	AL	4,955,863	09/11/1990	Walker et al.			
	AM	5,003,989	04/02/1991	Taylor et al.			
	AN	5,035,712	07/30/1991	Hoffman			
	AO	5,061,285	10/29/1991	Koch			
	AP	5,129,883	07/14/1992	Black			
	AQ	5,154,725	10/13/1992	Leopold			
	AR	5,171,314	12/15/1992	Dulebohn			
	AS	5,231,989	08/03/1993	Middleman et al.			
	AT	5,242,448	09/07/1993	Pettine et al.			
AU	5,238,005	08/24/1993	Imran				
AV	5,261,878	11/16/1993	Galindo				
AW	3,808,113	04/30/1974	Okamura et al.				
AX	4,313,231	02/02/1982	Koyamada				
AY	4,319,363	03/16/1982	Ketharanathan				
✓ AZ	4,466,139	08/21/1984	Ketharanathan				
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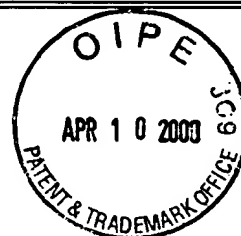
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<b>FORM PTO-1449 (Modified)</b>  <b>INFORMATION DISCLOSURE STATEMENT</b> (Use several sheets if necessary)				<b>ATTY DOCKET NO:</b> S63.2-4494  <b>APPLICANT:</b> Paul H. Burmeister, Charles L. Euteneuer, Brian J. Brown, Paul J. Fordenbacher  <b>FILING DATE:</b> MAY 19, 1994		<b>Serial No:</b> 08/246,320  <b>Group:</b> 3309	
<b>REFERENCE DESIGNATION                      U.S. PATENT DOCUMENTS</b>							
EXAM'S INIT.	PATENT NUMBER	ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
<i>PB</i>	AA	4,795,458	01/03/1989	Regan			
	AB	4,822,361	04/18/1989	Okita et al.			
	AC	4,911,713	03/27/1990	Sauvage et al.			
	AD	5,100,429	03/31/1992	Sinofsky et al.			
	AE	5,147,370	09/15/1992	McNamara et al.			
	AF	4,435,853	03/13/1984	Blom et al.			
	AG	4,509,517	04/09/1985	Zibelin			
	AH	4,547,156	10/15/1985	Hader			
	AI	4,614,516	09/30/1986	Blom et al.			
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<b>INFORMATION DISCLOSURE STATEMENT</b>				<b>APPLICANT:</b> Paul H. Burmeister, Charles L. Euteneuer, Brian J. Brown, Paul J. Fordenbacher			
(Use several sheets if necessary)				<b>FILING DATE:</b> MAY 19, 1994		<b>Group:</b> 3309	
<b>REFERENCE DESIGNATION</b>				<b>U.S. PATENT DOCUMENTS</b>			
EXAM'S INIT.	PATENT NUMBER	ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
PP/1	AA	4,149,911	04/17/1979	CLABBURN			
	AB	5,067,957	11/26/1991	JERVIS			
	AC	5,190,546	03/02/1993	JERVIS			
	AD	5,201,901	04/13/1993	HARADA ET AL.			
	AE	5,242,451	09/07/1993	HARADA ET AL.			
	AF	5,037,427	08/06/1991	HARADA ET AL.			
	AG	5,197,978	03/30/1993	HESS			
	AH	4,580,568	04/08/1986	GIAN TURCO			
	AI	4,800,882	01/31/1989	GIAN TURCO			
	AJ	5,041,126	08/20/1991	GIAN TURCO			
	AK	4,795,458	01/03/1989	REGAN			
	AL	4,830,003	05/16/1989	WOLFF ET AL.			
	AM	4,990,155	02/05/1991	WILKOFF			
	AN	4,503,569	03/12/1985	DOTTER			
	AO	4,733,665	03/29/1988	PALMAZ			
	AP	5,019,090	05/28/1991	PINCHUK			
	AQ	5,100,429	03/31/1992	SINOF SKY ET AL.			
	AR	5,147,370	09/15/1992	McNAMARA ET AL.			
	AS	5,167,614	12/01/1992	TESSMANN ET AL.			
	AT	3,868,956	03/04/1975	ALFIDI ET AL.			
	AU	4,512,338	04/23/1985	BALKO ET AL.			
	AV	4,665,906	05/19/1987	JERVIS			
	AW	4,739,762	04/26/1988	PALMAZ			
	AX	5,071,407	12/10/1991	TERMIN ET AL.			
	AY						
	AZ						

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<b>EXAMINER</b> Paul Prebilitic	<b>DATE CONSIDERED</b> 4-23-02
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				<b>APPLICANT:</b> Paul H. Burmeister, Charles L. Euteneuer, Brian J. Brown, Paul J. Fordenbacher				
				<b>FILING DATE:</b> May 19, 1994		<b>Group:</b> 3309		
<b>FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION</b>								
EXAM'S INIT.		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							Yes	No
/	BA	WO 83/03752	11/10/1983	PCT			X	
/	BB	WO 94/06732	03/31/1994	PCT			X	
/	BC	WO 92/06734	04/30/1992	PCT			X	
/	BD	WO 91/07928	06/13/1991	PCT			X	
/	BE	WO 89/08433	09/21/1989	PCT			X	
/	BF	WO 94/12136	06/09/1994	PCT			X	
/	BG	WO 93/13824	07/22/1993	PCT			X	
/	BH	WO 91/16005	10/31/1991	PCT			X	
/	BI	WO 92/19310	11/12/1992	PCT			X	
/	BJ	WO 93/19803	10/14/1993	PCT			X	
/	BK	0 119 688 A2	09/26/1984	EPO			X	
/	BL	0 376 132 A1	07/04/1990	EPO			X	
/	BM	0 380 666 B1	12/22/1993	EPO			X	
/	BN	0 433 447 B1	06/22/1994	EPO			X	
/	BO	0 461 791 A1	12/18/1991	EPO			X	
/	BP	0 508 473 A2	10/14/1992	EPO			X	
/	BQ	0 536 164 B1	03/09/1994	EPO			X	
/	BR	0 546 742 A2	06/16/1993	EPO			X	
/	BS	0 554 082 A1	08/04/1993	EPO			X	
/	BT	0 556 850 A1	08/25/1993	EPO			X	
/	BU	0 565 251 A1	10/13/1993	EPO			X	
/	BV	2 248 309	10/16/1974	France			X	
/	BW	WO 83/00997	03/31/1983	PCT			X	
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## FORM PTO-1449 (Modified)

LIST OF PATENTS AND PUBLICATIONS FOR  
APPLICANT'S INFORMATION DISCLOSURE  
STATEMENT

(Use several sheets if necessary)

ATTY DOCKET NO: S63.2-4944

Serial No: 08/246,320

APPLICANT: Paul H. Burmeister, Charles L. Euteneuer, Brian J. Brown, Paul J. Fordenbacher

FILING DATE: May 19, 1994

Group: 3309

## FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION

EXAM'S INIT.		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION Yes No	
	BA	WO 89/02999	04/06/1989	PCT				X
	BB	WO 89/03197	04/20/1989	PCT			X	
	BC	(A) 57-89859	06/04/1982	Japan				X
	BD	(A) 59-501438	09/01/1983	Japan				X
	BE	(A) 60-55964	04/01/1985	Japan				X
	BF	(A) 60-220030	11/02/1985	Japan				X
	BG	(A) 61-6655	01/13/1986	Japan				X
	BH	(B2) 61-6655	02/28/1986	Japan			X	
	BI	(A) 61-220648	09/30/1986	Japan				X
	BJ	(A) 62-82975	04/16/1987	Japan				X
	BK	(A) 62-82976	04/16/1987	Japan			X	
	BL							
	BM							
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EXAMINER

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



## FORM PTO-1449 (Modified)

LIST OF PATENTS AND PUBLICATIONS FOR  
APPLICANT'S INFORMATION DISCLOSURE  
STATEMENT

(Use several sheets if necessary)

ATTY DOCKET NO: S63.2-4944

Serial No: 08/246,320

APPLICANT: Paul H. Burmeister, Charles L. Euteneuer, Brian J. Brown, Paul J. Fordenbacher

FILING DATE: May 19, 1994

Group: 3309

## FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION

EXAM'S INIT.		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION Yes No	
<i>Pat</i>	BA	<del>WO92/22263</del>	<del>12/23/1992</del>	<del>PCT</del>			X	
	BB							
	BC							
	BD							
	BE							
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EXAMINER

Paul Prebilitic

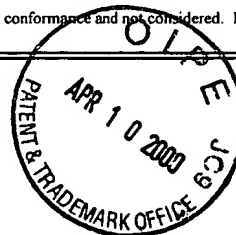
DATE CONSIDERED

4-23-02

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

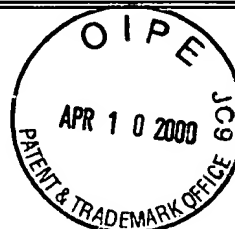


<b>FORM PTO-1449 (Modified)</b> <b>INFORMATION DISCLOSURE</b> <b>STATEMENT BY APPLICANT</b> (Use several sheets if necessary)		<b>ATTY DOCKET NO:</b> S63.2-4944 <b>Serial No:</b> 08/246,320 <b>APPLICANT:</b> Paul H. Burmeister, Charles L. Euteneuer, Brian J. Brown, Paul J. Fordenbacher <b>FILING DATE:</b> May 19, 1994 <b>Group:</b> 3309	
<b>OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication)</b>			
CA	/	<del>SCIENTIFIC AMERICAN, November 1979, volume 241, Number 5, pp. 74-82, Shape Memory Alloys</del>	
CB			
CC			
CD			
CE			
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CQ			
EXAMINER		DATE CONSIDERED	
EXAMINER: Initial citation considered. Draw line through citation if not in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.			



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FORM PTO-1449 (Modified)		ATTY DOCKET NO: S63.2-4944	Serial No: 08/246,320
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary)		APPLICANT: Paul H. Burmeister, Charles L. Euteneuer, Brian J. Brown, Paul J. Fordenbacher	
		FILING DATE: May 19, 1994	Group: 3309
OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication)			
CA	<del>Super Elastic Nickel-Titanium Wires</del> by Dieter Stöckel and Weizang Fu of Raychem Corporation, Menlo Park, California, Copy received November 12, 1992.		
CB	<del>Metals Handbook, Tenth Edition, Vol. 2, Properties and selection: Nonferrous Alloys and Special-Purpose Materials, "Shape Memory Alloys"</del> by Hodgson, Wu and Biermann, pp. 897-902.		
CC	<del>In Press, Titanium Handbook, ASM, (1994), Section entitled Structure and Properties of Ti-Ni Alloys,</del> by T.E. Duerig and A.R. Peltar.		
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EXAMINER	Paul Prebilit		DATE CONSIDERED 4-23-02
EXAMINER: Initial citation considered. Draw line through citation if not in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.			



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